

### Glass-ceramic

The invention relates to a glass and a glass-ceramic comprising beta-quartz and/or keatite solid solutions, and to a process for producing them, and to their use as a substrate material for coating.

It is known that glasses from the system  $\text{Li}_2\text{O}-\text{Al}_2\text{O}_3-\text{SiO}_2$  can be converted into glass-ceramics comprising beta-quartz solid solutions and/or keatite solid solutions as the main crystal phases. These glass-ceramics are produced in a plurality of stages. After melting and hot-shaping, the material is usually cooled at temperatures in the region of the transformation temperature ( $T_g$ ), in order to eliminate thermal stress. The material is cooled further to room temperature. The specified quality features of the glass body are investigated.

A second controlled heat treatment is used to crystallize the starting glass and convert it into a glass-ceramic article. This ceramicization takes place in a multistage thermal process in which first of all, by nucleation at temperatures of  $600^\circ\text{C}$  to  $800^\circ\text{C}$ , nuclei are produced from  $\text{TiO}_2$  or  $\text{ZrO}_2/\text{TiO}_2$  solid solutions.  $\text{SnO}_2$  may also be involved in the nucleation. During the subsequent temperature rise, beta-quartz solid solutions grow on these nuclei at the crystallization temperature of  $700^\circ\text{C}$  to  $900^\circ\text{C}$ . As the temperature rises further, in the range from  $800^\circ\text{C}$  to  $1100^\circ\text{C}$ , these beta-quartz solid solutions are further transformed into keatite solid solutions. Depending on the composition, the stability range of the glass-ceramic containing beta-quartz solid solution is extensive. With some compositions, the temperature of transition to the keatite solid-solution phase lies up to  $150^\circ\text{C}$  higher than the crystallization temperature of the beta-quartz solid solution glass-ceramic. With other compositions,

the beta-quartz solid solutions are converted into keatite solid solutions almost without any transition. The transition to keatite solid solution is associated with crystal growth, therefore with increasing  
5 crystallite size. This leads to increasing light scattering. The light transmission is reduced to an increasing extent. As a result, the glass-ceramic article appears increasingly translucent and ultimately opaque. The high light transmission of the glasses and  
10 glass-ceramics allows effective assessment of quality. Shaped bodies with defects which are relevant to safety or jeopardize the specified product properties can be sorted out prior to further process steps.

15 A key property of these glass-ceramics is that it is possible to produce materials which have an extremely low coefficient of thermal expansion in the range from 20°C to 300°C and above of  $< 1.5 \cdot 10^{-6}/K$ . With glass-ceramics which contain beta-quartz solid solutions as  
20 the main crystal phase, even materials with virtually no expansion are obtained in this temperature range. For use as substrate material for reflectors used in astronomy, glass-ceramics are modified in such a way that their zero thermal expansion lies in the  
25 temperature range of -50°C to +50°C which is important for this application. A glass-ceramic material of this type is produced under the name ZERODUR at SCHOTT GLAS.

A recent development is for these glass-ceramics also  
30 to be used in illumination engineering as a material for reflectors in applications in which, on account of miniaturisation and high luminous powers, too high thermal loads occur. Compared to the widespread reflectors made from borosilicate or aluminosilicate  
35 glass, these glass-ceramics satisfy extremely high demands with regard to the ability to withstand thermal loads and temperature gradients. In the reflectors, light sources which allow a high luminous intensity to

be produced within a small volume are used. The light sources are based on the technical principle of high-power halogen lamps, arc lamps or gas discharge lamps. The radiation maximum from these ultrahigh power lamps  
5 lies at wavelengths of 1  $\mu\text{m}$ , i.e. in the near infrared.

These glass-ceramics may be coated with metallic layers, such as aluminium, or with alternating layer systems of oxide substances. The multiple oxide layers  
10 use the interference principle and enable the visible light to be reflected while the incident infrared radiation is transmitted to the rear. The intention is for the substrate material to have a high IR transmission, so that it transmits the IR radiation to  
15 the rear without being heated to an unacceptable extent. Reflectors of this type are known as cold-light reflectors. Digital projection equipment and DVD or video recorder projection equipment are increasingly being equipped with glass-ceramic cold-light  
20 reflectors.

Glass-ceramics which are used as substrates for mirrors used in astronomy are described in DE-A-1902432 and US-A-4285728. The shaping is produced by casting the  
25 molten glass into a refractory die. Prior to the mirror-coating, the glass-ceramics comprising beta-quartz solid solution as the predominant crystal phase which are obtained after the crystallization are initially ground and then polished. This process leads  
30 to the desired geometric contour and a low surface roughness. However, it is time-consuming and expensive.

JP-B-95037324 describes glass-ceramics made from beta-quartz or keatite solid solutions for use as reflective  
35 mirror substrate materials which, after the ceramicization, have a low surface roughness  $R_a$  of at most 0.03  $\mu\text{m}$  even without polishing and have a composition in % by weight which comprises 50-65  $\text{SiO}_2$ ,

18-30  $\text{Al}_2\text{O}_3$ , 3-8  $\text{Li}_2\text{O}$ , 3-5  $\text{TiO}_2+\text{ZrO}_2$ , 0.3-7  $\text{RO}$  ( $\text{R} = \text{Mg}$ ,  
 $\text{Ca}$ ,  $\text{Zn}$ ,  $\text{Pb}$  or  $\text{V}$ ) and up to 3  $\text{R}_2\text{O}$  ( $\text{R} = \text{K}$ ,  $\text{Na}$ ).

US-A-4438210 describes transparent glass-ceramics  
5 comprising beta-quartz solid solution as the  
predominant crystal phase, which glass-ceramics,  
despite having relatively high contents of  $\text{Fe}_2\text{O}_3$  of up  
to 1000 ppm, are substantially colourless. The  
composition of the glass-ceramics, in % by weight,  
10 comprises 65-75  $\text{SiO}_2$ , 1-4  $\text{Li}_2\text{O}$ , 15-25  $\text{Al}_2\text{O}_3$ , 0.5-2  $\text{ZnO}$ ,  
0-2  $\text{Na}_2\text{O}$  and/or  $\text{K}_2\text{O}$ , 2-6  $\text{TiO}_2$ , 0-2  $\text{ZrO}_2$ , 0-2.5  $\text{BaO}$ , 0-  
1.2  $\text{F}$  and 100-1000 ppm of  $\text{Fe}_2\text{O}_3$ .

It is an object of the invention to provide a glass and  
15 a glass-ceramic comprising beta-quartz and/or keatite  
solid solutions which are suitable for coating with a  
mirror coating, and to provide an economic and  
environmentally friendly process for producing the  
glass and glass-ceramic.

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The object is achieved by a glass-ceramic having a  
composition in % by weight, based on the total  
composition, of:

25	$\text{Li}_2\text{O}$	3.0-5.5
	$\text{Na}_2\text{O}$	0-2.5
	$\text{K}_2\text{O}$	0-2.0
	$\Sigma \text{Na}_2\text{O}+\text{K}_2\text{O}$	0.5-3.0
	$\Sigma \text{MgO}+\text{ZnO}$	< 0.3
30	$\text{SrO}$	0-2.0
	$\text{BaO}$	0-3.5
	$\text{B}_2\text{O}_3$	0-4.0
	$\text{Al}_2\text{O}_3$	19.0-27.0
	$\text{SiO}_2$	55.0-66.0
35	$\text{TiO}_2$	1.0-5.5
	$\text{ZrO}_2$	0-2.5
	$\Sigma \text{TiO}_2+\text{ZrO}_2$	3.0-6.0
	$\text{P}_2\text{O}_5$	0-8.0

Fe<sub>2</sub>O<sub>3</sub> < 200 ppm  
F 0-0.6 as substitute for O

and, if appropriate, at least one refining agent, such  
5 as As<sub>2</sub>O<sub>3</sub>, Sb<sub>2</sub>O<sub>3</sub>, SnO<sub>2</sub>, CeO<sub>2</sub>, sulphate and chloride  
compounds.

The glass-ceramic according to the invention has

- 10 - a low viscosity, which is advantageous for shaping  
by pressing, with a working point V<sub>A</sub> of < 1300°C
- a good devitrification stability with an upper  
devitrification temperature which lies at most  
50°C above the working point V<sub>A</sub>
- 15 - a surface roughness of the glass and glass-ceramic  
without polishing of Ra < 50 nm, preferably  
< 20 nm
- a thermal expansion of the glass-ceramic in the  
temperature range between room temperature and  
300°C of < 1.2 • 10<sup>-6</sup>/K
- 20 - a high transmission on the part of the glass and  
the glass-ceramic in the near infrared region at  
1050 nm of > 85% for a thickness of 4 mm.

For shaping by pressing or blowing, the glass is to  
25 have a low working point V<sub>A</sub> of < 1300°C. As a result,  
the thermal loads in the region of the feeder, the  
outlet and for the press tools are reduced, so that the  
service lives are increased. The low viscosity also has  
a beneficial effect on the melting of the glass in the  
30 melting end and on the blowing quality of the glass  
obtained.

To avoid undesired devitrification of the molten glass  
during shaping and production of the drop in the  
35 feeder, the upper devitrification point of the molten  
glass should lie at most 50°C above the working point  
(V<sub>A</sub>). The upper devitrification point of the molten  
glass is the highest temperature at which the first

crystals come into contact with the shaping materials. With this temperature interval, experience has shown that it is still possible to avoid critical formation of crystals at the orifice ring or in the feeder, since  
5 the glass temperature during the conditioning of the drop is significantly above  $V_A$ . It is more advantageous if the upper devitrification temperature lies below  $V_A$ .

The low surface roughness of the vitreous shaped body  
10 obtained during pressing must not deteriorate to an unacceptable extent during the crystallization. Particularly when forming large mean crystallite sizes, the surface roughness of the glass-ceramic may rise. After the application of the mirror coating, the  
15 surface roughness is substantially maintained and effects partial scattering of the light. This light scattering has an adverse effect on the light efficiency. The aim for the surface roughness is for the  $R_a$  value of the glass-ceramic to be  $< 50$  nm,  
20 preferably  $< 20$  nm. This leads to light efficiencies which generally eliminate the need for expensive polishing of the substrate material prior to the coating.

25 For applications in which extremely high demands are imposed on the ability of the mirror substrate material to withstand thermal loads, the thermal expansion of the glass-ceramic in the temperature range between room temperature and  $300^\circ\text{C}$  should be less than  $1.2 \cdot 10^{-6}/\text{K}$ .  
30 This results in a high ability to withstand temperature gradients, since the temperature differences in the mirror substrate material are unable to bring about critical, thermally-induced stresses. In particular, the defects in the glass-ceramic or microcracks between  
35 mirror coating and substrate material, which cannot be avoided altogether, cannot be made to grow when the appliance is being switched on or off or in use on account of stresses caused by temperature differences.

Particularly for use as a cold-light reflector, in applications involving extremely high radiant power, the material must have a high transmission in the near  
5 infrared region, so that it transmits the IR radiation to the rear without being heated to an unacceptable extent. The composition according to the invention results in an IR transmission of > 85% at 1050 nm and a thickness of 4 mm. The radiation maximum of the most  
10 intensive light sources lies at this wavelength. Unfortunately, in glasses and glass-ceramics of the  $\text{Li}_2\text{O}-\text{Al}_2\text{O}_3-\text{SiO}_2$  type, there is also an absorption band at this wavelength, which is attributed to the divalent iron  $\text{Fe}^{2+}$ . To reduce the absorption in this critical  
15 range, therefore, very clean mix raw materials, i.e. with low levels of iron, need to be selected. The preparation of the cullet and the entire process must also keep contamination caused by iron at a low level. In both cases, this leads to increased outlay and  
20 therefore it is economically disadvantageous. Oxidic melt management by the use of nitrates as raw materials for the mix is only to a small extent able to oxidize the harmful  $\text{Fe}^{2+}$  to form  $\text{Fe}^{3+}$ . The composition according to the invention leads to a good IR transmission of  
25 > 85% at 1050 nm, with economically acceptable  $\text{Fe}_2\text{O}_3$  contents of up to 200 ppm.

The composition according to the invention of the glass-ceramic comprising beta-quartz and/or keatite  
30 solid solutions contains, in % by weight, based on the total composition:

	$\text{Li}_2\text{O}$	3.0-5.5
	$\text{Na}_2\text{O}$	0-2.5
35	$\text{K}_2\text{O}$	0-2.0
	$\Sigma \text{Na}_2\text{O}+\text{K}_2\text{O}$	0.5-3.0
	$\text{MgO}+\text{ZnO}$	< 0.3
	$\text{SrO}$	0-2.0

	BaO	0-3.5
	B <sub>2</sub> O <sub>3</sub>	0-4.0
	Al <sub>2</sub> O <sub>3</sub>	19.0-27.0
	SiO <sub>2</sub>	55.0-66.0
5	TiO <sub>2</sub>	1.0-5.5
	ZrO <sub>2</sub>	0-2.5
	Σ TiO <sub>2</sub> +ZrO <sub>2</sub>	3.0-6.0
	P <sub>2</sub> O <sub>5</sub>	0-8.0
	Fe <sub>2</sub> O <sub>3</sub>	< 200 ppm
10	F	0-0.6 as substitute for O

and, if appropriate, at least one refining agent, such as As<sub>2</sub>O<sub>3</sub>, Sb<sub>2</sub>O<sub>3</sub>, SnO<sub>2</sub>, CeO<sub>2</sub>, sulphate and chloride compounds.

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The oxides Li<sub>2</sub>O, Al<sub>2</sub>O<sub>3</sub> and SiO<sub>2</sub> are necessary constituents of glass-ceramics comprising beta-quartz and/or keatite solid-solution phases. MgO, ZnO and P<sub>2</sub>O<sub>5</sub> may be incorporated in the crystal phases as further components. Li<sub>2</sub>O contents of over 5.5% by weight increase the rate of crystal growth and put the devitrification stability at risk. The MgO content is limited on account of the increased discoloration in combination with Fe<sub>2</sub>O<sub>3</sub> trace concentrations. Zn, which is related to Mg in crystal chemistry terms, is also limited for this reason. The sum of the MgO and ZnO should be less than 0.3% by weight. The P<sub>2</sub>O<sub>5</sub> content is limited to at most 8% by weight. Higher levels lead to a significantly reduced chemical stability of the glass-ceramic. This is disadvantageous since the glass-ceramic substrate materials are usually chemically cleaned before being coated with the mirror coating, in order to remove surface contamination, in particular of an organic nature. If the chemical stability of the glass-ceramic is poor, the surface of the glass-ceramic may be attacked, with the result that the surface roughness deteriorates and the light efficiency is reduced. The Al<sub>2</sub>O<sub>3</sub> content should be 19-27% by weight.



The  $\text{Al}_2\text{O}_3$  content is less than 27% by weight in order to avoid high viscosities of the molten glass and the undesired devitrification of the molten glass to form mullite. The  $\text{SiO}_2$  content is limited to at most 66% by weight, since this component increases the viscosity of the glass and impairs the relatively low working points  $V_A$  of the molten glass, which are more advantageous for shaping by pressing. The addition of the alkali metal oxides  $\text{Na}_2\text{O}$ ,  $\text{K}_2\text{O}$  and of the alkaline-earth metal oxides  $\text{SrO}$ ,  $\text{BaO}$  improves the melting properties and the devitrification properties of the glass during production. To achieve the desired low working points  $V_A < 1300^\circ\text{C}$ , the sum of  $\text{Na}_2\text{O} + \text{K}_2\text{O}$  should be at least 0.5% by weight. The use of  $\text{Na}_2\text{O}$  and  $\text{K}_2\text{O}$  is necessary in order to produce a vitreous surface layer, which is advantageous for the low surface roughness, in the glass-ceramic. Higher levels of  $\text{Na}_2\text{O}$ ,  $\text{K}_2\text{O}$ ,  $\text{SrO}$ ,  $\text{BaO}$  and  $\text{B}_2\text{O}_3$  than the limits indicated cause unacceptable deterioration of the thermal expansion. This can be explained by the fact that these components remain substantially in the residual glass phase of the glass-ceramic. As residual glass-forming agents, higher levels may also impair the crystallization behaviour.  $\text{TiO}_2$  and  $\text{ZrO}_2$  are important as nucleating agents. The sum of the nucleating agents  $\text{TiO}_2 + \text{ZrO}_2$  should be 3.0 to 6.0% by weight. Minimum levels of 3.0% by weight are necessary in order to achieve the high nucleus density which is required for high light transmission and infrared transmission and therefore small crystallite sizes. The small crystallite sizes are also required in order to achieve a low surface roughness of the glass-ceramic without polishing of  $R_a < 50 \text{ nm}$ , preferably  $< 20 \text{ nm}$ . The amount of nucleating agents should not exceed 6.0% by weight, since otherwise the devitrification stability of the molten glass during shaping by pressing deteriorates. The composition may contain up to 0.6% by weight of F as substitute for O. The addition of fluorine has proven appropriate in

order to reduce the viscosity of the molten glass and may also increase the strength of the glass-ceramic, but even contents of 0.6% by weight lead to a deterioration in the temperature/time load-bearing capacity (compaction). It may also lead to spalling as a result of changes at the surface of the glass-ceramic.

The glass melts are refined using the refining agents which are customary for this glass system, such as  $\text{As}_2\text{O}_3$ ,  $\text{Sb}_2\text{O}_3$ ,  $\text{SnO}_2$ ,  $\text{CeO}_2$ , sulphate and chloride compounds in the customary quantities of 0.5 to 2% by weight.

Depending on the raw materials selected for the mix and on the process conditions during the melting, the water content of the glasses according to the invention is usually between 0.01 and 0.06 mol/l.

In a preferred embodiment, the glass is converted into a glass-ceramic comprising beta-quartz solid solutions as the main crystal phase. The glass-ceramic contains the following composition in % by weight, based on the total composition:

25	$\text{Li}_2\text{O}$	3.0-5.0
	$\text{Na}_2\text{O}$	0-2.0
	$\text{K}_2\text{O}$	0-1.5
	$\Sigma \text{Na}_2\text{O}+\text{K}_2\text{O}$	0.5-2.5
	$\text{MgO}+\text{ZnO}$	< 0.30
30	$\text{SrO}$	0-2.0
	$\text{BaO}$	0-3.5
	$\Sigma \text{SrO}+\text{BaO}$	< 4.0
	$\text{B}_2\text{O}_3$	0-4.0
	$\text{Al}_2\text{O}_3$	19.0-27.0
35	$\text{SiO}_2$	55.0-66.0
	$\text{TiO}_2$	1.0-5.5
	$\text{ZrO}_2$	0-2.5
	$\Sigma \text{TiO}_2+\text{ZrO}_2$	3.5-5.5

P <sub>2</sub> O <sub>5</sub>	0-8.0
Σ B <sub>2</sub> O <sub>3</sub> +P <sub>2</sub> O <sub>5</sub>	1.0-8.0
Fe <sub>2</sub> O <sub>3</sub>	< 130 ppm
F	0-0.3 as substitute for O

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and, if appropriate, at least one refining agent, such as As<sub>2</sub>O<sub>3</sub>, Sb<sub>2</sub>O<sub>3</sub>, SnO<sub>2</sub>, CeO<sub>2</sub>, sulphate and chloride compounds.

- 10 The glass-ceramic is distinguished by a particularly low thermal expansion of  $< 0.5 \cdot 10^{-6}/K$  in the temperature range from 20°C to 300°C and a very high transmission in the near infrared region, for a thickness of 4 mm, at 1050 nm of  $> 87\%$ , preferably
- 15  $> 89\%$ . The Fe<sub>2</sub>O<sub>3</sub> content should be reduced to below 130 ppm by selecting low-iron raw materials for the mix. The contents of alkaline metals, alkaline-earth meals, fluorine and the sum of the nucleating agents TiO<sub>2</sub>+ZrO<sub>2</sub> are limited. The composition should contain in
- 20 total B<sub>2</sub>O<sub>3</sub>+P<sub>2</sub>O<sub>5</sub> 1-8% by weight. These conditions lead to crystallization to form a glass-ceramic which contains beta-quartz solid solutions with small crystallite sizes and the desired properties.
- 25 To achieve a preferred object of the invention of providing a glass-ceramic which, through selection of the production conditions, contains almost exclusively beta-quartz solid solutions as crystal phase or contains almost exclusively keatite solid solutions,
- 30 the crystallization temperature of the beta-quartz solid-solution phase and the temperature of transition to the keatite solid solutions should be at least 40°C, preferably more than 80°C apart. This object is achieved by reduction, in particular in the case of the
- 35 residual glass-forming agents, the alkali metals, the alkaline-earth metals, B<sub>2</sub>O<sub>3</sub>. The composition should also be free of added fluorine. According to this preferred

embodiment, the glass-ceramic contains a composition, in % by weight, based on the total composition:

	Li <sub>2</sub> O	3.0-5.0
5	Na <sub>2</sub> O	0-2.0
	K <sub>2</sub> O	0-1.5
	Σ Na <sub>2</sub> O+K <sub>2</sub> O	0.5-2.0
	MgO+ZnO	< 0.30
	SrO	0-2.0
10	BaO	0-3.5
	Σ SrO+BaO	< 3.0
	B <sub>2</sub> O <sub>3</sub>	0-3.0
	Al <sub>2</sub> O <sub>3</sub>	21.0-27.0
	SiO <sub>2</sub>	55.0-66.0
15	TiO <sub>2</sub>	1.5-5.5
	ZrO <sub>2</sub>	0-2.5
	Σ TiO <sub>2</sub> +ZrO <sub>2</sub>	3.5-5.0
	P <sub>2</sub> O <sub>5</sub>	0-8.0
	Σ B <sub>2</sub> O <sub>3</sub> +P <sub>2</sub> O <sub>5</sub>	1.0-8.0
20	Fe <sub>2</sub> O <sub>3</sub>	< 200 ppm
	technically free of F	

and, if appropriate, at least one refining agent, such as As<sub>2</sub>O<sub>3</sub>, Sb<sub>2</sub>O<sub>3</sub>, SnO<sub>2</sub>, CeO<sub>2</sub>, sulphate and chloride compounds.

A glass-ceramic which is particularly advantageous for shaping by pressing has a low working point V<sub>A</sub> of < 1270°C and an upper devitrification temperature which is close to or even lower than the working point V<sub>A</sub>. Crystal phases which are critical with regard to devitrification are primarily mullite (aluminium silicate), baddeleyite (ZrO<sub>2</sub>). For an improved devitrification performance of this nature, it is necessary for the constituents of this critical crystal phase, in particular Al<sub>2</sub>O<sub>3</sub>, SiO<sub>2</sub>, ZrO<sub>2</sub>, to be limited, while an increase in the levels of the alkali metals Na<sub>2</sub>O, K<sub>2</sub>O, and of the alkaline-earth metals SrO, BaO has

a positive effect on the devitrification behaviour. The  $P_2O_5$  content should be at least 1% by weight, since  $P_2O_5$  counteracts the devitrification of mullite. The composition is free of added fluorine. The preferred  
5 glass-ceramic having these properties contains a composition, in % by weight, based on the total composition, of:

	$Li_2O$	3.0-5.0
10	$Na_2O$	0-2.0
	$K_2O$	0-1.5
	$\Sigma Na_2O+K_2O$	0.5-2.5
	$MgO+ZnO$	< 0.30
	$SrO$	0-2.0
15	$BaO$	0-3.5
	$\Sigma SrO+BaO$	1.0-4.0
	$B_2O_3$	0-4.0
	$Al_2O_3$	20-25
	$SiO_2$	55-63
20	$TiO_2$	1.5-5.5
	$ZrO_2$	0-2.0
	$\Sigma TiO_2+ZrO_2$	3.5-5.0
	$P_2O_5$	1.0-8.0
	$\Sigma B_2O_3+P_2O_5$	2.0-8.0
25	$Fe_2O_3$	< 200 ppm
	technically free of F	

and, if appropriate, at least one refining agent, such as  $As_2O_3$ ,  $Sb_2O_3$ ,  $SnO_2$ ,  $CeO_2$ , sulphate and chloride  
30 compounds.

To achieve the required low surface roughness after the conversion of the glass into a glass-ceramic, without polishing, of  $R_a < 50$  nm, preferably  $< 20$  nm, the mean  
35 crystallite size of the glass-ceramic should be  $< 300$  nm, preferably  $< 80$  nm. In this case, with a glass-ceramic which contains beta-quartz solid solution, it is generally possible to achieve smaller

crystallite sizes, since the transition to keatite solid solutions makes the microstructure become more coarse. If the crystallites are directly at the surface of the substrate material, they are of decisive importance for the surface roughness.

The result of the composition according to the invention comprising the alkali metal oxides  $\text{Na}_2\text{O}$ ,  $\text{K}_2\text{O}$  is that a vitreous surface layer which is enriched with these components is formed during the ceramicization, with a thickness of up to  $1.5 \mu\text{m}$ . Additions of the alkaline-earth metal oxides  $\text{SrO}$ ,  $\text{BaO}$  and  $\text{B}_2\text{O}_3$  also assist the formation of the vitreous surface layer. Greater thicknesses should be avoided, on account of the risk of surface cracks caused by differences in the thermal expansion. The vitreous surface layer has the effect of making the increase in surface roughness in the glass-ceramic compared to the starting glass less than  $10 \text{ nm}$ , generally less than  $5 \text{ nm}$ . The surface roughness of the substrate material is then determined primarily by the surface roughness produced by the process conditions employed during pressing.

In some applications, it is desirable to dye the glass-ceramic in the visible region. The advantages of high transmission in the near infrared region from  $900$  to  $1800 \text{ nm}$  for use as a cold-light reflector should be maintained. The good light transmission in order to achieve reliable quality assessment of the pressed vitreous shaped body should also be maintained. The coloured oxide  $\text{V}_2\text{O}_5$  in amounts of from  $0.1$  to  $0.5\%$  by weight has proven particularly suitable for the combination of good light transmission in the vitreous state, colouring of the glass-ceramic in the visible region and high transmission of the glass-ceramic in the near infrared region. The addition of  $\text{V}_2\text{O}_5$  leads to a slightly green colour in the glass, and strong

colouring occurs during the ceramicization, while there is only little absorption in the near infrared.

The maximum ability of the glass-ceramic to withstand the thermal loads is determined by the compaction. Compaction means that the regions of the glass-ceramic substrate material which are exposed to high thermal loads contract to a greater extent than regions which are exposed to lower thermal loads. This effect occurs as a result of changes in the microstructure as a function of the temperature/time load on the glass-ceramic. The different temperature/time load and associated compaction makes its presence felt in particular in the case of relatively large items. A high compaction leads to unacceptably high compaction stresses between the regions of the article which are exposed to high thermal loads and those which are subject to lower thermal loads. In extreme circumstances, this may lead to fracturing after prolonged use at elevated temperatures. The compaction, based on a specimen length of 100 mm, should be less than 60  $\mu\text{m}$  after conditioning at 600°C, 200 h, since this would satisfy even applications involving extremely high thermal loads.

For use as a cold-light reflector, it is advantageous if the IR transmission is  $> 85\%$ , preferably  $> 87\%$ , for a thickness of 4 mm, not only at the wavelength of 0.50  $\mu\text{m}$  but also over the entire range from 900 to 1800 nm. This broad wavelength range corresponds to the radiation maxima for most light-intensive types of lamp and their spectral distribution. This makes the glass-ceramic substrate material versatile in use.

For applications in which the demands imposed on the ability to withstand temperature gradients and thermal loads are not so extreme, it is economically advantageous to leave the substrate material in the

10 vitreous form, in order to eliminate the process step  
of ceramicization. To satisfy requirements, the glass  
should have a thermal expansion in the temperature  
range between room temperature and 300°C of  $< 5 \cdot 10^{-6}/K$ ,  
5 preferably  $< 4.5 \cdot 10^{-6}/K$ , and a transformation  
temperature  $T_g$  of greater than 600°C. The IR  
transmission should reach the high levels of  $> 85\%$ ,  
preferably  $> 87\%$ , for a thickness of 4 mm, in the  
wavelength range from 900 nm to 1800 nm which are known  
10 from the glass-ceramic.

To allow reliable quality inspection of the pressed  
shaped bodies, the light transmission of the glass  
should be at least 85%, for a thickness of 4 mm. This  
15 high light transmission enables shaped bodies with  
defects which are relevant to safety or which may  
impair the specified product properties, such as the  
good light efficiency, to be sorted out. This is  
economically advantageous, since the following process  
20 steps up to and including the coated substrate material  
and final inspection cause additional costs. The light  
transmission of the glass-ceramic should be at least  
50%, preferably more than 85%, measured at a thickness  
of 4 mm, in order to be able to identify flaws which  
25 occur during ceramicization and to enable the article  
to be sorted out prior to further process steps. For  
the high light transmission, it is necessary to reduce  
absorption and scattering effects. The absorption is  
substantially reduced by the low iron contents in  
30 combination with reduced  $TiO_2$ ,  $MgO$  and  $ZnO$  contents. To  
reduce the light scattering, it is necessary to reduce  
the crystallite sizes to significantly below the  
wavelength of the visible light and to keep the  
differences in the refractive index between crystal  
35 phase and residual glass phase at a low level.

In a preferred embodiment, the vitreous or glass-  
ceramic substrate material is in the form of a



reflector, the inner contour of which approaches one or more parabolas. If the light source is arranged substantially as a spot source in the focal point of the parabola, the inner contour will be designed as a parabola, in order to achieve a parallel orientation of the reflected light. In the case of a light source which, for design reasons, has a linear extent, it is technically often advantageous for the inner contour to approach one or more parabolas.

To produce the cold-light reflector, the vitreous or glass-ceramic substrate material is coated with an IR-transmitting mirror coating. Metals, such as aluminium, are relatively unsuitable for this purpose, since they reflect in the near IR region. Layer sequences of various oxide layers which are optimized in terms of the number, sequence and thicknesses of the layers and the refractive indices of the layers enable these layer sequences to achieve good reflection in visible light but to be transmissive in the infrared for the thermal radiation of the light source. It is preferable to use layer sequences of oxides, such as  $\text{SiO}_2$  and  $\text{TiO}_2$ . Vacuum vapour deposition, sputtering and preferably PICVD coating can be used as coating processes.

In the process according to the invention for the production of a vitreous substrate material, which can be converted into a glass-ceramic comprising beta-quartz and/or keatite solid solutions, for coating with a mirror coating, the shaping takes place via a feeder, in which a drop of defined weight is added to a pressing die. A ram with a smoothed surface is used to impress the required parabolic contour of the substrate material. The vitreous substrate materials are then removed from the pressing die and undergo thermal stress relief in a cooling furnace. The quality inspection for sorting out defective shaped bodies then takes place.

The glass according to the invention allows use both as a vitreous substrate material for coating and, after conversion into a glass-ceramic comprising beta-quartz solid solutions or keatite solid solutions as the main crystal phase, has a glass-ceramic substrate material with a high ability to withstand temperature gradients and thermal loads.

10 In the process for converting the pressed vitreous substrate material into the glass-ceramic comprising beta-quartz solid solution as the main crystal phase, the glass is nucleated at temperatures of 630°C to 750°C for a duration of > 15 minutes, in order to  
15 produce high nucleus densities, and then the crystallization is carried out at temperatures of 700°C to 850°C, for a duration of at least 30 minutes. On account of this process, the mean crystallite size of the glass-ceramic is less than 80 nm, and the thermal  
20 expansion in the temperature range between 20°C and 300°C is less than  $0.5 \cdot 10^{-6}/K$ .

To convert the pressed, vitreous substrate material into a glass-ceramic comprising keatite solid solution  
25 as the main crystal phase, the conversion takes place at temperatures of 780°C to 1000°C, and the mean crystallite size is in this case less than 300 nm, and the thermal expansion in the temperature range between 20°C and 300°C is less than  $1.2 \cdot 10^{-6}/K$ . Glass-ceramic  
30 substrates materials with keatite solid solutions as the main crystal phase have a higher thermal expansion and therefore a reduced ability to withstand temperature gradients compared to glass-ceramic substrate materials comprising beta-quartz solid  
35 solutions as the main crystal phase. On account of the larger mean crystallite sizes, the light transmission is also lower, and a slightly translucent appearance, caused by scattering at the crystallites, can be

observed. Glass-ceramic substrate materials comprising keatite solid solutions as the main crystal phase generally have an improved temperature/time load-bearing capacity (compaction). They can therefore be  
5 recommended for applications involving elevated use temperatures.

It is economically advantageous if vitreous substrate materials and glass-ceramics comprising beta quartz and  
10 keatite as the main crystal phase can be produced from the same composition, since they have different property profiles and production costs.

The glass-ceramic according to the invention and/or the  
15 starting glass is preferably used as a reflector, in particular a cold-light reflector, as a substrate material for a mirror coating, as a supplementary plate in illumination engineering, in particular where, on account of a high luminous power, it is necessary to  
20 tolerate a high radiant heat combined with temperature differences. While the vitreous substrate material provides economically favourable solutions where there are reduced demands on the ability to withstand thermal loads and temperature gradients, the glass-ceramics  
25 satisfy extremely high demands with respect to these properties. These substrate materials have a very high infrared transmission and can therefore be used as cold-light reflectors.

30 The invention is explained further with reference to examples and a drawing.

The starting glasses were melted and refined using raw materials which are customary in the glass industry at  
35 temperatures of 1620°C. After the melting in crucibles made from sintered fused silica, the melts were poured into platinum crucibles and were homogenized by stirring at temperatures of 1580°C for 30 min. After

standing for 2 h at 1640°C, castings with a size of 140x100x30 mm were cast and were cooled to room temperature in a cooling furnace starting from 650°C, in order to reduce thermally induced stresses. The test specimens, such as bars for measuring the coefficient of thermal expansion and small plates for measuring the transmission, were produced from these castings. The vitreous specimens, in the sizes required for tests carried out on glass-ceramics, were then converted into the glass-ceramic using the nucleation and crystallization conditions listed.

Table 1 shows compositions of glasses according to the invention. Examples 7, 8 and 9 are comparative examples and demonstrate the advantages of the invention over the prior art.

The iron contents resulting from the raw materials used are given in ppm. The H<sub>2</sub>O content was determined by infrared measurements and is given in mol/l.

The transformation temperature T<sub>g</sub>, the working point V<sub>A</sub>, the thermal expansion in the temperature range between 20°C and 300°C, the density, the degree of light transmission  $\tau$  in the visible light region in accordance with EN 410 and the infrared transmission, for a thickness of 4 mm, at the wavelengths 1050 and 1800 nm were determined on the melted glasses.

To measure the devitrification behaviour, the glasses were melted in the platinum crucible. The platinum crucibles were then held at various temperatures in the region of the working point for 5 h. The highest temperature at which the first crystals in the glass melt came into contact with the platinum crucible determines the upper devitrification temperature (UDL = upper devitrification limit). The critical crystal

phase which occurs during devitrification is listed in the table.

DTA measurements show the crystallization temperature of the glass for transition to the glass-ceramic containing beta-quartz solid solution and for the transformation temperature to the glass-ceramic containing keatite solid solution. A uniform, constant heating rate of 5 K/min was used. The temperatures for crystallization of the beta-quartz solid solution glass-ceramic and the transition to the glass-ceramic containing keatite solid solution are listed in the table.

As can be seen from Table 1, glasses No. 1 to 6 according to the invention satisfied the requirements imposed on the glass for shaping by pressing and for use as substrate material for coating with a mirror coating in vitreous form.

The working point  $V_A$  was lower than 1300°C, in some cases even below 1270°C. The upper devitrification temperature UDL was at most 50°C above the working point  $V_A$  of the glasses, in some cases even below it.

The thermal expansion of the glass in the temperature range between room temperature and 300°C was less than  $5 \cdot 10^{-6}/K$ . The transformation temperature  $T_g$  was above 600°C. The light transmission of the glasses, which is important in particular for quality assessment, was over 85% for a thickness of 4 mm.

For use as mirror substrate material in vitreous form, the glasses have a high IR transmission at 1050 nm and a thickness of 4 mm of > 85%. These good transmission values were also achieved in the wavelength range from 900 to 1800 nm.

The DTA peak temperatures for the crystallization of the beta-quartz solid solution and the transition to the keatite solid solution were at least 40°C apart.

- 5 On account of their compositions, Comparative Examples 8 and 9 have a very low viscosity. The transformation temperatures  $T_g$  are low.  
In Comparative Example 8, the devitrification stability does not satisfy the requirements.

Table 1: Compositions and properties of glasses

Example No.	1	2	3	4	5	6	7	8	9
Glass No.	1	2	3	4	5	6	7	8	9
Li <sub>2</sub> O (% by weight)	4.60	4.56	4.55	4.30	4.75	4.00	3.70	5.00	3.93
Na <sub>2</sub> O (% by weight)	0.60	0.60	0.60	0.90	0.60	0.20	0.50		0.80
K <sub>2</sub> O (% by weight)	0.40	0.40	0.40		0.38	0.80		0.70	0.55
MgO (% by weight)	0.25	0.25			0.10	0.10	0.45	1.80	1.80
CaO (% by weight)								0.10	
SrO (% by weight)	0.75			1.00		0.30			
BaO (% by weight)		1.11	1.10		1.16	1.50	2.00		
ZnO (% by weight)				0.20		0.10	1.70	0.95	0.97
B <sub>2</sub> O <sub>3</sub> (% by weight)	2.00	2.0	2.00	1.00	2.10			2.80	2.70
Al <sub>2</sub> O <sub>3</sub> (% by weight)	23.00	22.90	22.95	23.20	19.70	24.5	21.80	23.00	22.50
SiO <sub>2</sub> (% by weight)	60.50	60.28	60.35	59.80	62.85	58.0	64.30	57.95	59.20
TiO <sub>2</sub> (% by weight)	2.50	2.50	2.55	3.20	2.66	3.80	2.40	2.50	3.40
ZrO <sub>2</sub> (% by weight)	1.60	1.60	1.55	1.10	1.63	1.00	1.70	1.65	0.98
P <sub>2</sub> O <sub>6</sub> (% by weight)	2.20	2.20	2.35	4.00	2.40	4.00		1.75	1.89
As <sub>2</sub> O <sub>3</sub> (% by weight)				1.30				0.80	0.28
Sb <sub>2</sub> O <sub>3</sub> (% by weight)	1.60	1.60	1.60		1.67	1.50	1.45		
F (% by weight)						0.20		1.00	1.00
Fe <sub>2</sub> O <sub>3</sub> (ppm)	58	87	84	64	73	140	470	81	110
H <sub>2</sub> O (mol/l)	0.035	0.040	0.039	0.030	0.036	0.031	0.028	0.026	0.039

Continuation of Table 1: Compositions and properties of glasses

Example No.	1	2	3	4	5	6	7	8	9
Glass No.	1	2	3	4	5	6	7	8	9
<b>Glass properties:</b>									
T <sub>g</sub> (°C)	643	644	650	655	625	661	680	580	601
V <sub>A</sub> (°C)	1245	1245	1257	1278	1269	1273	1288	1163	1203
$\alpha_{20/300}$ (10 <sup>-6</sup> /K)	4.8	4.7	4.7	4.5	4.9	4.3	4.1	4.9	4.5
Density (g/cm <sup>3</sup> )	2.435	2.443	2.436	2.420	2.421	2.460	2.496	2.443	2.434
Light transmission, thickness 4 mm, $\tau$ (%)	91.3	91.4	91.1	91.1	90.9	90.6	89.4	90.9	89.7
IR transmission									
1050 nm (%)	91.6	91.6	91.4	91.2	91.5	90.5	87.1	91.7	91.5
1800 nm (%)	91.6	91.5	91.3	91.3	91.4	90.7	88.4	91.9	91.5
<b>Devitrification behaviour:</b>									
UDL (°C)	1250	1255	1230	1215	1260	1315	1325	1230	1130
Crystal phase	Baddeleyite	Baddeleyite	Baddeleyite	Mullite	Baddeleyite	Mullite	Mullite	Baddeleyite	Keatite
<b>Crystallization behaviour:</b>									
DTA peak temperatures:									
beta-quartz SS (°C)	812	815	825	850	824	837	829	751	765
keatite SS (°C)	912	917	926	981	865	1013	1013	797	847



Table 2 lists the starting glasses for the ceramicization corresponding to the glass No. from Table 1. Examples 17 and 18 are comparative glass-ceramics.

5

The ceramicization, i.e. the conversion of the glasses into the glass-ceramics, took place under the nucleation and crystallization conditions listed in Table 2. The glasses were heated from room temperature to 500°C at 5 K/min. Heating to the nucleation temperatures listed took place at 4 K/min. Nucleation temperatures and the duration of nucleation are given in Table 2. The increase from the nucleation temperature to the crystallization temperature was carried out at a heating rate of 1.5 K/min. At the crystallization temperature given and over the duration listed, the glasses were crystallized. The cooling took place at up to 500°C, with a cooling rate of approx. 4 K/min, then by switching off the furnace heating.

20

Examples 12 and 15 show glass-ceramics which have been converted into white/translucent glass-ceramics with keatite solid solutions as the main crystal phase. The remaining examples according to the invention have beta-quartz solid solutions as the predominant crystal phase.

25

The crystal phase fractions and the mean crystallite size of the main crystal phase were determined by means of X-ray diffractometry.

30

The examples according to the invention have the desired low values for the thermal expansion measured in the temperature range between 20°C and 300°C.

Table 2: Ceramicization conditions and properties of glass-ceramics according to the invention and comparative glass-ceramics (Examples 17, 18)

Example No.	10	11	12	13	14	15	16	17	18
Glass No.	1	2	2	3	4	5	6	7	9
<b>Ceramicization conditions:</b>									
Nucleation	700°C, 1h	700°C, 1h	700°C, 1h	700°C, 1h	685°C, 3h	680°C, 1h	630°C, 1h	740°C, 1h	665°C, 1h
Crystallization	780°C, 1h	780°C, 1h	-	785°C, 1h	820°C, 1h	-	830°C, 1h	850°C, 1h	725°C, 1h
Conversion	-	-	825°C, 1h	-	-	780°C, 1h.	-	-	-
Main phase	β-QSS	β-QSS	keatite SS	β-QSS	β-QSS	keatite SS	β-QSS	β-QSS	β-QSS
Phase proportion									
beta-quartz SS (%)	75	71	-	72	74	25	70	72	68
keatite SS (%)	-	-	93	-	-	75	-	-	1
mean crystallite size (nm)	42	43	120	39	36	100	38	37	55
<b>Properties, ceramicized:</b>									
Transparency	transpar ent	transpar ent	white-transluc ent	transpar ent	transpar ent	white-transluc ent	transpar ent	transpar ent	white-transluc ent
Thermal expansion 20-300°C (10 <sup>-6</sup> /K)	-0.4	-0.4	+1.0	-0.6	-0.1	+0.6	-0.2	-0.4	+0.5

Continuation of Table 2: Ceramicization conditions and properties of glass-ceramics according to the invention and comparative glass-ceramics (Examples 17, 18)

Example No.	10	11	12	13	14	15	16	17	18
Glass No.	1	2	2	3	4	5	6	7	9
IR transmission, 4 mm thickness									
1050 nm (%)	90.2	91.0	87.5	89.8	90.7	85.3	88.6	84.8	91.1
1800 nm (%)	90.8	91.3	90.3	90.1	90.4	90.4	88.7	83.2	91.6
Surface roughness									
Ra (nm)									
Starting glass:	0.45	0.30	0.30	0.37	0.25	0.60	0.19	0.41	Surface
Glass-ceramic:	0.43	0.40	0.61	0.42	0.27	0.40	0.49	0.46	spalling
Light transmission, 4 mm thickness, $\tau$ (%)	87.9	87	53	86.5	88.6	54.2	83.7	68.0	82.6
Compaction after 600°C, 200 h ( $\mu\text{m}/100\text{ mm}$ )	51	53	16	49	18	n.d.	13	6	164

5 Abbreviations:

$\beta$ -QSS: beta-quartz solid solutions

n.d. not determined

The drawing comprises Figure 1 and Figure 2. Figure 1 shows the transmission curve of glass-ceramics as a function of the wavelength.

- 5 Figure 1 shows the transmission curve for glass No. 2, and the glass-ceramics produced therefrom comprising beta-quartz or keatite solid solutions as the main crystal phase (Examples 11 and 12). High transmission values are reached between 900 and 1800 nm. By contrast, the comparative glass-ceramic Example No. 17, in the ceramicized state, has broad absorption bands at approx. 1050 nm and 1800 nm, which are attributable to the high iron contents. The examples according to the invention achieve the required high IR transmission.
- 10
- 15 The light transmission of the glass-ceramics according to the invention has the high values which are important for quality inspection.

- Figure 2 shows Li, Na, K and BaSIMS depth profiles of the glass-ceramic according to the invention.
- 20

- To measure the surface roughness of the glass-ceramic, a plurality of specimens of approximately the same size, with a surface of 2x2 cm<sup>2</sup> and a thickness of approx. 0.5 cm, are produced from a casting with a fire-polished surface, as is formed during the casting of the glass. One of the specimens remains vitreous for comparison purposes, while others are converted into the glass-ceramic under the ceramicization conditions listed. The surface roughness Ra of the specimens is measured using an atomic force microscope (AFM) in a square measurement region with a side length of 50 µm. A fire-polished surface is used for the measurement in the manner described, since this means that the surface is not influenced by the process conditions employed during pressing. The low roughness values are attributable to the presence of a vitreous surface layer in the glass-ceramics. In the comparative glass-
- 25
- 30
- 35

ceramic Example 18, it was impossible to measure the surface roughness, since spalling occurred on the surface layer.

- Figure 2 shows the depth profile for the elemental concentrations of Li, Na, K and Ba measured on a transverse section from Example 13. It can be seen from the concentration profile of the alkali metal and alkaline-earth metal elements that in this example the vitreous surface layer with a thickness of approximately 400 nm, which is favourable with a view to achieving good surface roughness values, has been formed. The Li depth profile correlates to the presence of the crystals in which it is preferably incorporated.
- 15 The compaction is measured as the change in length of a 100 mm long bar during conditioning at 600°C, 200 h. At this temperature, which is higher than customary use conditions, the temperature/time load-bearing capacity of the glass-ceramic is recreated in an accelerated-time test. The required low compaction values are achieved, while the comparative glass-ceramic Example 18 has high values.